

11-26-01

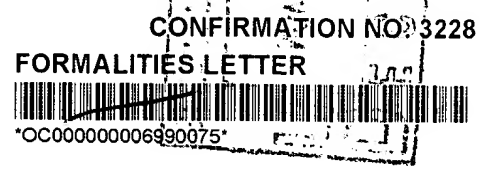
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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/919,076 /	07/30/2001	Du	360D0002

22862
GLENN PATENT GROUP
3475 EDISON WAY
SUITE L
MENLO PARK, CA 94025



Date Mailed: 10/29/2001

NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

Filing Date Granted

The U.S. Patent and Trademark Office has received your reply on 09/27/2001 to the Notice to File Missing Parts (Notice) mailed 08/21/2001 and it has been entered into the nonprovisional application. The reply, however, does not include the following items required in the Notice.

The period of reply remains as set forth in the Notice. You may, however, obtain EXTENSIONS OF TIME under the provisions of 37 CFR 1.136 (a) accompanied by the appropriate fee (37 CFR 1.17(a)).

A complete reply must be timely filed to prevent ABANDONMENT of the above-identified application.

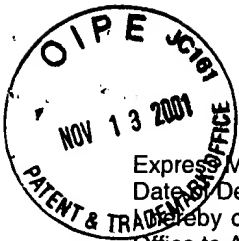
The required items noted below **SHOULD** be filed along with any items required above. The filing date of this nonprovisional application will be the date of receipt of the items required above.

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

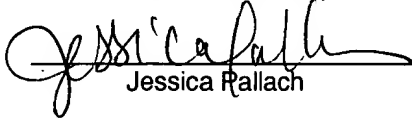
PART 2 - COPY TO BE RETURNED WITH RESPONSE



Express Mail mailing label no. EI 556193305US

Date of Deposit: November 13, 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, D. C. 20231.


Jessica Rallach

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Du

Docket No.: 360D0002

Serial No. : 09/919,076

Art Unit: Unassigned

Filed: 30 July 2001

Examiner: Unassigned

Title: SMART CARD SECURITY INFORMATION CONFIGURATION AND RECOVERY SYSTEM

November 13, 2001

Assistant Commissioner for Patents
BOX NON FEE
Washington, DC 20231

TRANSMITTAL


Dear Sir:

Enclosed for the above-referenced patent are the following:

1. Response to Notice of Incomplete Reply;
2. Copy of Response to Missing Parts Notice filed 9/27/01;
3. Copy of Declaration filed 9/27/01;
4. Copy of PTO Stamped Postcard showing receipt of the declaration on 9/27/01; and
5. Return Postcard

The Commissioner is authorized to charge any additional fees, or credit any overpayments, to Deposit Account No. 07-1445 (Order No. 360D0002). A copy is enclosed for this purpose.

Respectfully submitted,


Michael A. Glenn
Reg. No. 30,176

Customer No. 22862

Date of Deposit: September 27, 2001

I hereby certify that this paper is being deposited with the United States Patent and Trademark Office "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, D. C. 20231.

COPY

Jessica Pallach

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of)

Du et al.)

Serial No. 09/919,076)

Filed: July 30, 2001)

For: Smart Card Security Information Configuration
and Recovery System)

Examiner: Unassigned

Art Unit: Unassigned

September 27, 2001

RESPONSE TO NOTICE TO FILE MISSING PARTS

Commissioner of Patents
and Trademarks
BOX MISSING PARTS
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Application--Filing Date Granted mailed August 21, 2001, enclosed herewith are the following:

- Original signed Declaration;
- Assignment and Recordation Cover Sheet;
- Copy of Missing Parts Notice; and
- Return Postcard

The Commissioner is authorized to charge the surcharge and recordation fees of \$105.00 as well as any additional fees that may be due and credit any overpayments to Deposit Account No. 07-1445 (Order No. 360D0002). A copy of this sheet is enclosed for accounting purposes.

Respectfully submitted,

2 —

Michael A. Glenn
Reg. No. 30,176

Customer No. 22862

Attorney Docket No. 360D0002



#3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Du

Docket No.: 360D0002

Serial No. : 09/919,076

Art Unit: Unassigned

Filed: 30 July 2001

Examiner: Unassigned

Title: SMART CARD SECURITY INFORMATION CONFIGURATION AND RECOVERY SYSTEM

November 13, 2001

Assistant Commissioner for Patents
BOX NON FEE
Washington, DC 20231

RESPONSE

This is in response to the Notice of Incomplete Reply mailed 29 October 2001.

The Notice states that the declaration filed with the Missing Parts Response was not received by the Patent Office with Applicant's response of 27 September 2001. Enclosed is the PTO stamped postcard showing receipt of the declaration, as well as a copy of the declaration.

Since the Notice of Incomplete Reply was sent in error, Applicant herein requests that the above-referenced file show that all requirements were timely filed. Applicant also requests that the Notice of Incomplete Reply be withdrawn.

Applicant hereby states that no extensions of time are required, since the response filed 9/27/01 was complete. However, the Commissioner is authorized to charge any additional fees, or credit any overpayments, to Deposit Account No. 07-1445 (Order No. 360D0002).

Please contact the undersigned if there are any further questions.

Respectfully submitted,

Michael A. Glenn
Reg. No. 30,176

Customer No. 22862



Patent and Trademark Office (PTO) Acknowledgement

Please acknowledge the receipt of the enclosed documents, listed below, by imprinting the PTO date stamp in the space indicated and returning this postcard to the addressee indicated on the reverse.

Re: Application- Du et al. Ser. No. 09/919,076

Smart Card Security Information Configuration and Recovery System File No. 360D0002

First Class Mail

Certificate of First Class Mailing

Date Mailed: September 27, 2001 ✓

Patent Application - Type Provisional

Oath/Declaration/Power of Attorney ✓

Assignment & Assignment Recordation ✓

Patent Application Transmittal Form

Formal Drawings - no. of sheets 18

Informal Drawings - no. of sheets 2

Information Disclosure Statement

1449 Form -

Cited References

Response (Amendment)

Preliminary amendment

Extension of Time - 1 Month(s)

✓ Express Mail No. EL556470953US

✓ Certificate of Express Mailing

Small Entity Statement - Business

Small Entity Statement - Independent

✓ Copy of Missing Parts Notice

✓ Response to Missing Parts Notice

IPER

RCE transmittal

73 Pages of Spec. and Drawings

Issue fee transmittal

Transmittal form

Other:

✓ Fee \$ 105.00

✓ Check No. Charge to Deposit acct.

